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# Documentation of WinDS Base Case Data

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### Introduction

The **Wind Deployment Systems (WinDS)** model is a computer model that optimizes the regional expansion of electric generation and transmission capacity in the continental United States over the next 50 years. WinDS compares many different generation types to design a “least-cost” electric power system under a number of technical, reliability, and environmental constraints. Detailed documentation of the WinDS model formulation is available at <http://www.nrel.gov/analysis/winds/detailed.html>.

This document summarizes the key data inputs to the Base Case of the WinDS model. The Base Case was developed simply as a point of departure for other analyses to be conducted with the WinDS model. It does not represent a forecast of the future, but rather is a consensus scenario whose inputs depend strongly on others’ results and forecasts. For example, the WinDS Base Case derives many of its inputs from the EIA’s

*Annual Energy Outlook* (EIA 2005) – in particular, its conventional technology cost and performance parameters, its current and future fossil fuel prices, and its electric-sector loads.

The sections that follow present the parameters and input values used for the WinDS Base Case.

## 1) Financials

WinDS optimizes the electric power system “build,” based on the projected life-cycle costs, which include capital costs and cumulative discounted operating costs over a fixed evaluation period. The “overnight” capital costs are adjusted to reflect the actual total cost of construction, including tax effects, interest during construction, and financing mechanisms. **Table 1** provides a summary of the financial values used to produce the net capital and operating costs.

**Table 1: Base Case Financial Assumptions**

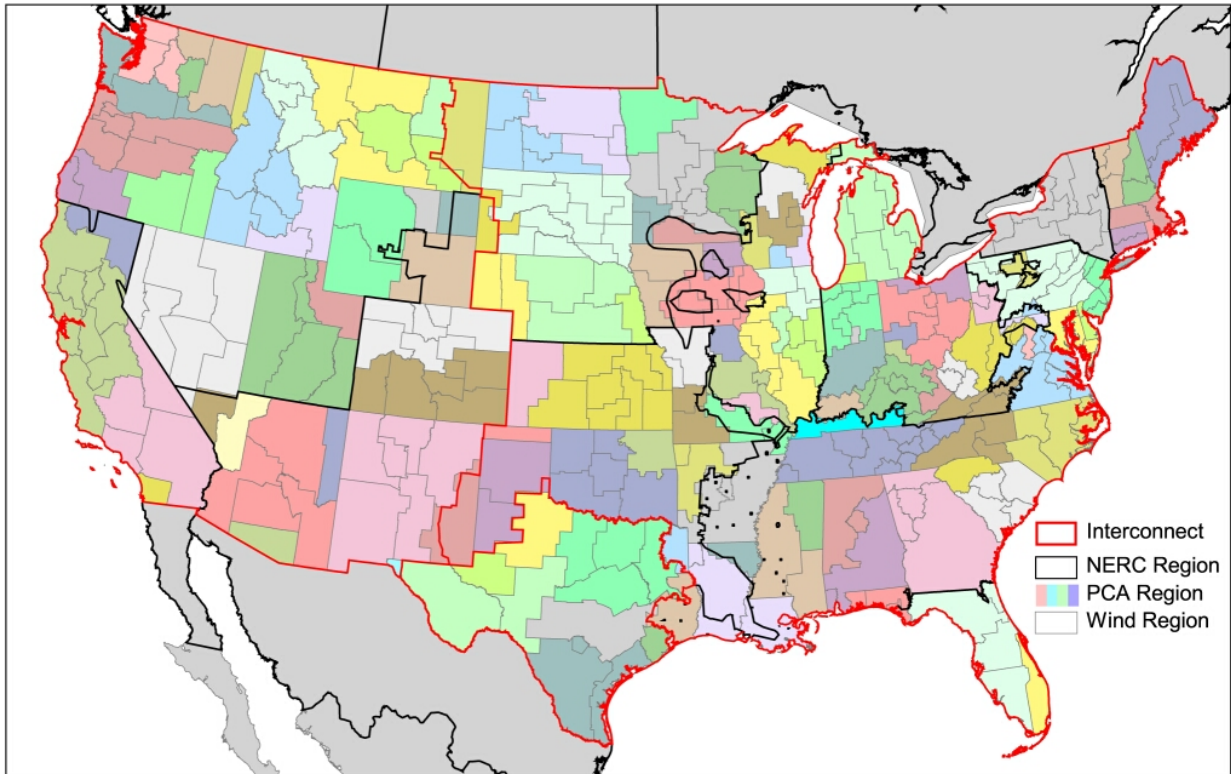
Name	Value	Notes & Source
Inflation Rate	3%	Based on recent historical inflation rates
Real Discount Rate	8.5%	Equivalent to weighted cost of capital. Based on EIA assumptions (U.S. DOE 2005b)
Debt/Equity Ratio	0	Consistent with the use of a weighted cost of capital for the real discount rate
Real interest rate	0	Consistent with the use of a weighted cost of capital for the real discount rate
Marginal Income Tax Rate	40%	Combined Federal/State Corporate Income Tax Rate
Evaluation Period	20 Years	Base Case Assumption
Depreciation Schedule		
Conventionals	15 Year	MACRS
Wind	5 Year	MACRS
Nominal Interest rate during construction	10%	Base Case Assumption
Dollar year	2004	All costs are expressed in year 2004 dollars

## 2) Power System Characteristics

### 2.1 WinDS Regions

There are four types of regions used in the WinDS model, illustrated in **Figure 1**. These include:

- a) **Interconnect Regions** – There are three major interconnects in the United States: the Eastern interconnect, Western interconnect, and the ERCOT (Electric Reliability Council of Texas) interconnect. These are electrically isolated regions.
- b) **National Electric Reliability Council (NERC) Subregions** – There are 13 NERC subregions used in WinDS. **Table 2** provides a listing of NERC region names and locations.
- c) **Power Control Areas (PCAs)** – There are 136 PCAs used in WinDS.
- d) **Wind Resource Regions** – There are 358 wind resource regions.



**Figure 1: Regions Within WinDS**

Interconnect regions, NERC regions, and PCAs are defined by various regulatory agencies – see **Table 2** for a definition of NERC regions.

**Table 2: NERC Regions Used in WinDS**

NERC Region/Subregion	Abbreviation	Region Name
1	ECAR	East Central Area Reliability Coordination Agreement
2	ERCOT	Electric Reliability Council of Texas
3	MAAC	Mid-Atlantic Area Council
4	MAIN	Mid-America Interconnected Network
5	MAPP	Mid-Continent Area Power Pool
6	NY	New York
7	NE	New England
8	FRCC	Florida Reliability Coordinating Council
9	SERC	Southeast Reliability Council
10	SPP	Southwest Power Pool
11	NWP	Northwest
12	RA	Rocky Mountain Area
13	CNV	California/Nevada

Note: NERC regions in WinDS are based on the pre-2006 regional definitions defined by <http://www.eia.doe.gov/cneaf/electricity/ipp/html/tb5p01.html>. In January 2006, NERC regions were redefined (see <http://www.nerc.com/regional/>). However, the EIA will not incorporate these changes until publication of AEO 2007; therefore, WinDS will continue to use pre-2006 definitions until then.

Wind Resource Regions were created specifically for the WinDS model. The regions have been selected using the following rules and criteria:

- Build up from counties (so that electric load can be determined for each wind supply/demand region based on county population).
- Do not cross state boundaries (so that state-level policies can be modeled).
- Conform to power-control areas as much as possible (to better capture the competition between wind and other generators).
- Separate major windy areas from load centers (so that the distance from a wind resource to a load center can be well approximated).
- Conform to NERC region/subregion boundaries (so that the results are appropriate for use by integrating models that use the NERC regions/subregions).

A detailed map with all wind regions and PCAs is provided in **Appendix A**.

The need for four levels of geographical resolution is based on several different components of the WinDS model. For example, electric demand is modeled at the NERC region level, while wind-generator performance is modeled at the wind-resource region level. The use of these various regions is discussed in further detail in the appropriate section in this document.

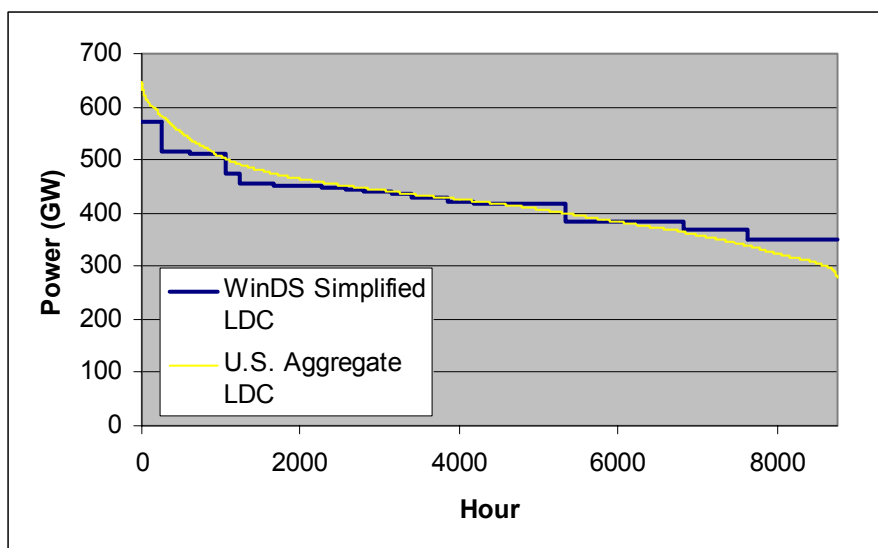
## 2.2 Electric System Loads

Loads are defined by region and by time. WinDS meets both the energy requirement and the power requirement for each of 136 Power Control Area (PCA) regions. Energy is met for each PCA in each of 16 time slices, and within each year modeled by WinDS. Time slices are defined in **Table 3**.

**Table 3: WinDS Demand Time-Slice Definitions**

<b>Slice Name</b>	<b>Number of Hours Per Year</b>	<b>Season</b>	<b>Time Period</b>
<b>H1</b>	<b>1152</b>	Summer	Weekends plus 11PM-6AM weekdays
<b>H2</b>	<b>462</b>	Summer	Weekdays 7AM-1PM
<b>H3</b>	<b>264</b>	Summer	Weekdays 2PM-5PM
<b>H4</b>	<b>330</b>	Summer	Weekdays 6PM-10PM
<b>H5</b>	<b>792</b>	Fall	Weekends plus 11PM-6AM weekdays
<b>H6</b>	<b>315</b>	Fall	Weekdays 7AM-1PM
<b>H7</b>	<b>180</b>	Fall	Weekdays 2PM-5PM
<b>H8</b>	<b>225</b>	Fall	Weekdays 6PM-10PM
<b>H9</b>	<b>1496</b>	Winter	Weekends plus 11PM-6AM weekdays
<b>H10</b>	<b>595</b>	Winter	Weekdays 7AM-1PM
<b>H11</b>	<b>340</b>	Winter	Weekdays 2PM-5PM
<b>H12</b>	<b>425</b>	Winter	Weekdays 6PM-10PM
<b>H13</b>	<b>1144</b>	Spring	Weekends plus 11PM-6AM weekdays
<b>H14</b>	<b>455</b>	Spring	Weekdays 7AM-1PM
<b>H15</b>	<b>260</b>	Spring	Weekdays 2PM-5PM
<b>H16</b>	<b>325</b>	Spring	Weekdays 6PM-10PM

The electric load in 2000 for each PCA and time slice is derived from an RDI/Platts database (<http://www.platts.com/Analytic%20Solutions/BaseCase/>). **Figure 2** is the WinDS load duration curve for the entire United States for the base year, illustrating the 16 load time slices. As a reference, the actual U.S. coincident load duration curve is illustrated as well (also derived from the Platts database). This aggregated data for the United States, which is shown in **Figure 2**, is not used directly in WinDS, as the energy requirement is met in each PCA. However, this curve does give a general idea of the WinDS energy requirement. It should be noted that the load-duration curve does not include the “super peak,” which occurs in most systems for a few hours per year. The peak requirements are discussed in **Section 2.3**.



**Figure 2: National Load Duration Curve for Base Year in WinDS**

### 2.3 Growth Rate

Load growth is defined at the NERC Region level. It is assumed that the loads in all PCAs within each NERC region grow at the same rate to 2050. **Table 4** provides the 2000 load and annual growth rates for each NERC region.

**Table 4: Base Load and Load Growth in the WinDS Base Case**

NERC Region/Subregion	Abbreviation	2000 Load TWh/year	Annual Load Growth
1	ECAR	370	1.019
2	ERCOT	205	1.021
3	MAAC	197	1.016
4	MAIN	184	1.018
5	MAPP	110	1.017
6	NY	109	1.017
7	NE	96	1.017
8	FL	141	1.019
9	SERC	589	1.017
10	SPP	132	1.012
11	NWP	176	1.025
12	RA	97	1.026
13	CNV	202	1.021

Source: U.S. DOE. 2005b (Tables 60 through 72 "Total Net Energy For Load")

WinDS assumes that the growth rate in each time slice is constant; i.e. the load shape remains the same for all regions.

## 2.4 Capacity Requirements

In each power control area, WinDS requires that firm capacity is available to meet the demand in each time slice (see national example of time-slice demand in **Figure 2**). In addition, for every NERC region and/or interconnect region, WinDS requires sufficient capacity to meet the peak instantaneous demand throughout the course of the year,<sup>1</sup> plus a peak reserve margin. This reserve margin requirement may be met by any generator type – or by interruptible load – based on the relative economics.

While these capacity requirements are implemented regionally, we illustrate their national impact in **Table 5**.

**Table 5: National Capacity Requirements in the WinDS Base Case**

Capacity Requirement	Total (GW)		Annual Growth Rate
	2000	2050	%
Average load in the summer peak time slice	571	1,452	1.9
Annual peak instantaneous load	702	1,783	1.9
Peak capacity to meet reserve margin	875	2,016	1.7

The peak reserve margin for each region is provided in **Table 6**. Reserve margin is ramped from initial value in 2000 to the 2010 requirement, and maintained thereafter. It is assumed that energy growth and peak demand grow at the same rate, and the load shape stays constant from one period to the next.

**Table 6: Peak Reserve Margin**

NERC Region	Abbreviation	2010 Required Reserve Margin
1	ECAR	0.12
2	ERCOT	0.15
3	MAAC	0.15
4	MAIN	0.12
5	MAPP	0.12
6	NY	0.18
7	NE	0.15
8	FL	0.15
9	SERC	0.13
10	SPP	0.12
11	NWP	0.08
12	RA	0.14
13	CNV	0.13

Note: Reserve margin data from Energy Observer Issue No. 2, July 2004, PA Consulting Group

<sup>1</sup> This instantaneous annual peak load is higher than the load in each of the 16 time slices, because the load in each time slice is the average load over the hours included in that time slice.



### 3) Wind

#### 3.1 Wind-Resource Definition

Wind power classes are defined as follows:

**Table 7. Classes of Wind Power Density**

Wind Power Class	Wind Power Density, W/m <sup>2</sup>	Speed m/s (mph)
3	300-400	6.4-7.0
4	400-500	7.0-7.5
5	500-600	7.5-8.0
6	600-800	8.0-8.8
7	>800	>8.8

Note: Wind speed measured at 50 meters above ground level

Source: Elliott and M.N. Schwartz 1993

The wind power density and speed are not used explicitly in WinDS. The different classes of wind power are distinguished in WinDS through the resource levels, capacity factors, turbine costs, etc., all of which are discussed in the paragraphs below.

#### 3.2 Resources

The wind-resource dataset for the WinDS model is based on a “supply curve” for onshore, shallow offshore, and deep offshore. Each is expressed in the following format for each of the 358 wind supply regions in WinDS:

##### Example of format

Wind Region	Class 3 Resource (MW)	Class 4 Resource (MW)	Class 5 Resource (MW)	Class 6 Resource (MW)	Class 7 Resource (MW)
1	926.5	286.4	82.3	41.5	18.8
2	214.9	69	43.7	43.7	16.7
3	467.1	248.3	127.7	120.3	66.9
4	3265.6	2100.9	501.1	86.8	6.1

Refer to Appendix A for the WinDS resource dataset for all 358 wind regions

This regional wind-resource dataset is generated by multiplying the total available area of a particular wind resource by an assumed wind-farm density of 5 MW/km<sup>2</sup> (NREL 2006). The amount of land available for each class is based on a dataset for each of the 358 wind regions for onshore, shallow offshore, and deep offshore. The resource data is derived from a variety of sources outlined in **Table 8**.

**Table 8: Data Source for Wind Resource**

State	Data Source*	State	Data Source*	State	Data Source*
Arizona	2003, N/TWS	Maine	2002, N/TWS	Ohio	2004, N/TWS
Alabama	1987, PNL	Maryland	2003, N/TWS	Oklahoma	1987, PNL
Arkansas	1987, PNL	Massachusetts	2002, N/TWS	Oregon	2002, N/TWS
California	2003, N/TWS	Michigan	2005, N/TWS	Pennsylvania	2003, N/TWS
Colorado	2003, N/TWS	Minnesota	1987, PNL	Rhode Island	2002, N/TWS
Connecticut	2002, N/TWS	Mississippi	1987, PNL	South Carolina	1987, PNL
Delaware	2003, N/TWS	Missouri	2004, N/TWS	South Dakota	2000 NREL
Florida	1987, PNL	Montana	2002, N/TWS	Tennessee	1987, PNL
Georgia	1987, PNL	Nebraska	2005, N/TWS	Texas	1987, PNL/2000 NREL
Idaho	2002, N/TWS	Nevada	2003, N/TWS	Utah	2003, N/TWS
Illinois	2001, NREL	New Hampshire	2002, N/TWS	Vermont	2002, N/TWS
Indiana	2004, N/TWS	New Jersey	2003, N/TWS	Virginia	2003, N/TWS
Iowa	1987, PNL	New Mexico	2003, N/TWS	Washington	2002, N/TWS
Kansas	1987, PNL	New York	1987, PNL	West Virginia	2003, N/TWS
Kentucky	1987, PNL	North Carolina	2003, N/TWS	Wisconsin	1987, PNL
Louisiana	1987, PNL	North Dakota	2000 NREL	Wyoming	2002, N/TWS

\* Year validated (1987 to present);

Source = PNL, NREL, or N/TWS (NREL with AWS TrueWind – see Note 3)

Notes:

- 1) PNL data cells are each 1/4 degree of latitude by 1/3 degree of longitude. Each cell has a terrain exposure percent, ranging from 5% for ridge crest to 90% for plains, which defines the fraction of the area in each cell that is available for wind development. (PNL 1987)
- 2) NREL data was generated with the WRAMS model (NREL 2004), and does not account for surface roughness. Resolution is 1 km.
- 3) N/TWS data was generated by AWS TrueWind and validated by NREL. Resolution is 400 m for the northwest states (WA, OR, ID, MT, and WY) and 200 m everywhere else. These data consider surface roughness in their estimates. (<http://www.awstruewind.com/inner/services/windmapping.htm>).

The wind-resource availability in WinDS includes many land exclusions described in **Table 9**. These exclusions are based on NREL program assumptions.

**Table 9: Wind-Resource Exclusion Database – Standard Version, January 2005**

<b>Criteria for Defining Available Windy Land (numbered in the order they are applied):</b>	
<b>Criteria</b>	<b>Data/Comments:</b>
1) Exclude areas of slope > 20%	Derived from elevation data used in the wind resource model.
2) 100% exclusion of National Park Service and Fish and Wildlife Service managed lands	USGS Federal and Indian Lands shapefile, Jan 2005
3) 100% exclusion of federal lands designated as park, wilderness, wilderness study area, national monument, national battlefield, recreation area, national conservation area, wildlife refuge, wildlife area, wild and scenic river or inventoried roadless area.	USGS Federal and Indian Lands shapefile, Jan 2005
4) 100% exclusion of state and private lands equivalent to criteria 2 and 3, where GIS data is available.	State/GAP land stewardship data management status 1, from Conservation Biology Institute Protected Lands database, 2004

5) 100% exclusion of airfields, urban, wetland and water areas.	USGS North America Land Use Land Cover (LULC), version 2.0, 1993; ESRI airports and airfields (2003)
6) 100% exclude 3 km surrounding criteria 2-5 (except water)	Merged datasets and buffer 3 km
7) Exclude resource areas that do not meet a density of 5 km <sup>2</sup> of class 3 or better resource within the surrounding 100 km <sup>2</sup> area.	Focalsum (a GIS function) applied to function of class 3+ areas (not applied to 1987 PNL resource data)
8) 50% exclusion of remaining USDA Forest Service (FS) lands (incl. National Grasslands)	USGS Federal and Indian Lands shapefile, Jan 2005
9) 50% exclusion of remaining Dept. of Defense lands	USGS Federal and Indian Lands shapefile, Jan 2005
10) 50% exclusion of state forest land, where GIS data is available	State/GAP land stewardship data management status 2, from Conservation Biology Institute Protected Lands database, 2004
11) 50% exclusion of non-ridgecrest forest. If an area is non-ridgecrest forest on FS land, it is just excluded at the 50% level one time.	Ridge-crest areas defined using a terrain definition script, overlaid with USGS LULC data screened for the forest categories.
Note - 50% exclusions are not cumulative.	

### 3.3 Basic Wind Cost and Performance

The following tables provide the Base Case inputs for projected cost and performance for land-based (onshore) and offshore (shallow and deep) wind turbines. The values were derived from data obtained from personal communication between the authors and Joseph Cohen at Princeton Energy Resources International (2003) for onshore turbines; and from Walter Musial at the National Wind Technology Center (2004) for offshore turbines. These datasets were manipulated to divide the cost/performance improvements into the factors that are due to industry learning-by-doing and those that are due to R&D. The improvements shown in **Table 10** represent that due only to R&D. The learning-by-doing improvements are calculated endogenously within WinDS, using an 8% learning rate (McDonald, 2001) based on both U.S. and world production estimates.

**Table 10: Onshore Turbines (Values Constant after 2020)**

Resource Class	Install Year	Capacity Factor	Capital cost (\$/kW)*	Fixed O&M (\$/kW-yr)	Variable O&M (\$/MWh)
3	2000	0.2	942.60	7.54	4.71
3	2005	0.25	929.24	7.54	3.77
3	2010	0.275	922.57	7.54	3.71
3	2020	0.3	915.89	7.54	3.64
4	2000	0.251	942.60	7.54	4.71
4	2005	0.2885	915.89	7.54	3.77
4	2010	0.349	914.32	7.54	3.71
4	2020	0.361	898.61	7.54	3.64
5	2000	0.3225	942.60	7.54	4.71
5	2005	0.3535	897.82	7.54	3.77
5	2010	0.397	897.04	7.54	3.71
5	2020	0.4135	881.33	7.54	3.64

6	2000	0.394	942.60	7.54	4.71
6	2005	0.4185	879.76	7.54	3.77
6	2010	0.445	879.76	7.54	3.71
6	2020	0.466	864.05	7.54	3.64
7	2000	0.414	942.60	7.54	4.71
7	2005	0.4385	879.76	7.54	3.77
7	2010	0.465	879.76	7.54	3.71
7	2020	0.486	864.05	7.54	3.64

\* Overnight capital cost

**Table 11: Shallow Offshore Turbines (Values Constant after 2025)**

Resource Class	Install Year	Capacity Factor	Capital cost (\$/kW)	Fixed O&M (\$/kW-yr)	Variable O&M (\$/MWh)
3	2000	0.33	1194.00	10.00	15.00
3	2005	0.33	1194.00	10.00	15.00
3	2010	0.345	1143.83	10.00	14.13
3	2020	0.35	1073.67	10.00	13.07
3	2025	0.35	1064.33	10.00	12.77
4	2000	0.33	1194.00	10.00	15.00
4	2005	0.33	1194.00	10.00	15.00
4	2010	0.345	1143.83	10.00	14.13
4	2020	0.35	1073.67	10.00	13.07
4	2025	0.35	1064.33	10.00	12.77
5	2000	0.37	1194.00	10.00	15.00
5	2005	0.37	1194.00	10.00	15.00
5	2010	0.365	1143.83	10.00	14.13
5	2020	0.395	1073.67	10.00	13.07
5	2025	0.395	1064.33	10.00	12.77
6	2000	0.42	1194.00	10.00	15.00
6	2005	0.42	1194.00	10.00	15.00
6	2010	0.4375	1143.83	10.00	14.13
6	2020	0.445	1073.67	10.00	13.07
6	2025	0.445	1064.33	10.00	12.77
7	2000	0.44	1194.00	10.00	15.00
7	2005	0.44	1194.00	10.00	15.00
7	2010	0.4575	1143.83	10.00	14.13
7	2020	0.465	1073.67	10.00	13.07
7	2025	0.465	1064.33	10.00	12.77

**Table 12: Deep Offshore (Cost and Performance Constant after 2025)**

Resource Class	Install Year	Capacity Factor	Capital cost (\$/kW)	Fixed O&M (\$/kW-yr)	Variable O&M (\$/MWh)
3	2000	0.33	2004.00	10.00	18.00
3	2005	0.33	2004.00	10.00	18.00
3	2010	0.345	1887.50	10.00	16.57
3	2020	0.35	1735.67	10.00	15.40
3	2025	0.35	1696.67	10.00	15.30

4	2000	0.33	2004.00	10.00	18.00
4	2005	0.33	2004.00	10.00	18.00
4	2010	0.345	1887.50	10.00	16.57
4	2020	0.35	1735.67	10.00	15.40
4	2025	0.35	1696.67	10.00	15.30
5	2000	0.37	2004.00	10.00	18.00
5	2005	0.37	2004.00	10.00	18.00
5	2010	0.365	1887.50	10.00	16.57
5	2020	0.395	1735.67	10.00	15.40
5	2025	0.395	1696.67	10.00	15.30
6	2000	0.42	2004.00	10.00	18.00
6	2005	0.42	2004.00	10.00	18.00
6	2010	0.4375	1887.50	10.00	16.57
6	2020	0.445	1735.67	10.00	15.40
6	2025	0.445	1696.67	10.00	15.30
7	2000	0.44	2004.00	10.00	18.00
7	2005	0.44	2004.00	10.00	18.00
7	2010	0.4575	1887.50	10.00	16.57
7	2020	0.465	1735.67	10.00	15.40
7	2025	0.465	1696.67	10.00	15.30

## 4) Conventional Generation

### 4.1 Generator Types

Available generator types that may be built are based on the most likely types as determined by the DOE Energy Information Administration (EIA) – U.S. DOE 2005c.

**Table 13: Conventional (Non-Wind) Generation Types Considered by WinDS**

Generator Type	Existing Capacity?	May Be Built New In WinDS?
Conventional pulverized coal steam plant (No SO <sub>2</sub> Scrubber)	Y	No – Scrubbers may be added to meet SO <sub>2</sub> constraints. Existing plants may also switch to low-sulfur coal
Conventional pulverized coal steam plants (With SO <sub>2</sub> Scrubber)	Y	No
Advanced supercritical coal steam plant (With SO <sub>2</sub> and NO <sub>x</sub> controls)	Y	Y
Integrated Coal Gasification Combined Cycle (IGCC)	Y	Y – sequestration may be added
Oil/Gas Steam Turbine (OGS)	Y	N – Assumes CT or CCGT will be built instead
Combined Cycle Gas Turbine	Y	Y – sequestration may be added
Gas Combustion Turbine	Y	Y
Fuel Cell	None	Not in Base Case – Generic Fuel Cell with H <sub>2</sub> fuel is an optional technology
Nuclear	Y	Y

Conventional Hydropower - Hydraulic Turbine	Y	No – small hydro to be added
Municipal Solid Waste / Landfill Gas	Y	N – to be added
Biomass (as thermal steam generation)	Y	N – to be added in the form of co-firing, thermal steam, and/or gasification
Geothermal	Y	N – to be added
Concentrating solar power with storage	Y	Y

#### 4.2 Cost and Basic Performance (Capital Cost, Fixed O&M, Variable O&M, Heat Rate)

Values for capital cost, heat rate (efficiency), fixed O&M, and variable O&M for conventional technologies are provided in **Table 14** for the Base Case. As shown in Table 14, real operating costs for existing steam plants are assumed to escalate at 2% per year, reflecting plant aging.

**Table 14: Basic Cost and Performance Characteristics for Conventional Generation**

Type	Install Date	Capital Cost \$/kW*	Fixed O&M \$/MW-yr	Var O&M \$/MWh	Heat rate MMBTU/MWh
Gas-CT	2000	504	8,415	3.16	10.82
	2005	407	10,315	3.07	10.03
	2010	386	10,315	3.07	9.50
	2020	358	10,315	3.07	9.50
	2030	351	10,315	3.07	9.50
	2040	351	10,315	3.07	9.50
	2050	351	10,315	3.07	9.50
Gas-CC	2000	626	10,527	2.10	7.20
	2005	584	11,021	1.85	6.97
	2010	568	11,021	1.85	6.57
	2020	537	11,021	1.85	6.57
	2030	527	11,021	1.85	6.57
	2040	527	11,021	1.85	6.57
	2050	527	11,021	1.85	6.57
Existing Coal (Scrubbed) (see note # 6)	2000	N/A	23,410	3.40	10
	2005	N/A	25,847	3.75	10
	2010	204	28,537	4.14	10
	2020	204	34,786	5.05	10
	2030	204	42,404	6.16	10
	2040	204	51,690	7.51	10
	2050	204	63,010	9.15	10
Existing Coal (Unscrubbed)	2000	N/A	27,156	3.94	10
	2005	N/A	29,982	4.35	10
	2010	N/A	33,103	4.81	10
	2020	N/A	40,352	5.86	10
	2030	N/A	49,189	7.14	10
	2040	N/A	59,961	8.71	10
	2050	N/A	73,092	10.62	10

Coal-new	2000	1,249	25,091	4.18	8.84
	2005	1,249	25,091	4.18	8.84
	2010	1,232	25,091	4.18	8.67
	2020	1,193	25,091	4.18	8.6
	2030	1,176	25,091	4.18	8.6
	2040	1,176	25,091	4.18	8.6
	2050	1,176	25,091	4.18	8.6
Coal-IGCC	2000	1,444	25,091	2.58	8.31
	2005	1,444	25,091	2.58	8.31
	2010	1,406	25,091	2.58	7.52
	2020	1,305	25,091	2.58	7.2
	2030	1,182	25,091	2.58	7.2
	2040	1,182	25,091	2.58	7.2
	2050	1,182	25,091	2.58	7.2
Oil/gas/steam	2000	N/A	25,256	3.16	9
	2005	N/A	27,884	3.49	9.23
	2010	N/A	30,786	3.85	9.46
	2020	N/A	37,528	4.70	9.94
	2030	N/A	45,747	5.73	10.45
	2040	N/A	55,765	6.98	10.99
	2050	N/A	67,978	8.51	11.55
Nuclear	2000	2016	61,862	0.45	10.4
	2005	2016	61,862	0.45	10.4
	2010	1958	61,862	0.45	10.4
	2020	1862	61,862	0.45	10.4
	2030	1814	61,862	0.45	10.4
	2040	1814	61,862	0.45	10.4
	2050	1814	61,862	0.45	10.4

Source: U.S. DOE. 2005c.

Fossil capital costs: Table 48 (Reference Case)

Fossil heat Rates: Table 48 (Reference Case)

Nuclear capital cost: Table 49 (Reference Case)

Fixed and Variable O&M Table 38

Notes:

- 1) Capital costs are “overnight” costs not including interest during construction
- 2) The current AEO projects costs every 5 years to 2025. Values in WinDS are interpolated linearly to derive the even year values. For new plants, values beyond 2025 are assumed to be constant.
- 3) Cost values and heat rates for the Gas-CT and Gas-CC are based on the average of the “conventional” and “advanced” cases in the AEO.
- 4) New nuclear may not be constructed before 2010.
- 5) Old coal and oil/gas/steam may not be constructed in WinDS.
- 6) This value represents the cost of converting unscrubbed to scrubbed coal.
- 7) O&M = operation and maintenance. O&M costs do not include fuel.
- 8) Heat rate is net heat rate (including internal plant loads).
- 9) Values are interpolated for intermediate years in WinDS.

### 4.3 Capital Cost Adjustment Factors

There are several adjustments that are applied to the capital cost including financing, interest during construction, learning, and rapid growth.

In the Base Case, financing is not treated explicitly. It is assumed to be captured by the real discount rate of 8.5%, which is a weighted cost of capital.

Inasmuch as the capital costs of conventional technologies are taken from the EIA's Annual Energy Outlook report (and have, therefore, already been adjusted for learning), no additional learning is assumed for these technologies in the Base Case. As pointed out above, expected improvements in the cost and performance of future wind turbines have been separated into those that are due to learning and those that are due to R&D-driven improvements. The R&D-driven improvements for wind in the Base Case were reported in **Tables 10-12**.

In the Base Case, WinDS does assume that the price paid per unit of wind capacity can increase above the capital costs of **Tables 8-10**, if the demand for new wind capacity significantly exceeds that supplied in earlier years. In particular, if the new wind installations are more than 20% greater than those of the preceding year, there is a 1% increase in capital cost for each 1% growth above 20% per year (U.S. DOE 2004, p88). Interest during construction can also increase the effective capital cost for each technology. **Table 15** indicates the construction time and schedule for each conventional technology.

**Table 15: Capital Cost Adjustment Factors**

	Construction Time	Schedule
Hydro	NA	NA
Gas-CT	3	A
Gas-Combined Cycle (CC)	3	C
Coal-old-1 (Scrubbed)	3 (add scrubber to existing)	A
Coal-old-2 (Unscrubbed)	NA	NA
Coal-new	4	D
Coal-IGCC	4	D
Oil/gas/steam (o-g-s)	NA	NA
Nuclear	6	B
Geothermal	NA	NA
Biopower	NA	NA
Concentrating Solar (CSP)	3	C
Landfill Gas (Ifill-gas)	NA	NA

Notes:

- 1) Construction time source: U.S. DOE. 2005a. Table 38.
- 2) Schedule refers to the fraction of capital cost that must be committed each year during construction. This is used to calculate interest, and the total capital cost required to build each plant. Assumed schedules are in **Table 16**.



**Table 16: Generator Construction Schedules**

Schedule	Fraction of Cost in Each Year					
	1	2	3	4	5	6
A	80%	10%	10%			
B	10%	20%	20%	20%	20%	10%
C	50%	40%	10%			
D	40%	30%	20%	10%		

#### 4.4 Outage Rates (Forced Outage and Planned Outage)

WinDS considers the outage rate when determining the net capacity available for energy described in **Section 2**, and in determining the capacity value of each technology. Planned outages are assumed to occur in all seasons except the summer. **Table 17** provides the outage rate for each conventional technology.

**Table 17: Conventional Generator Outage Rates**

Generator Type	Forced Outage Rate (%)	Planned Outage Rate (%)
Hydro	2.0	5.0
Gas-CT	10.7	6.4
Gas-Combined Cycle (CC)	5.0	7.0
Existing Coal (Scrubbed)	7.9	9.8
Existing Coal (Unscrubbed)	7.9	9.8
Coal-new	7.9	9.8
Coal-IGCC	7.9	9.8
Oil/gas/steam (o-g-s)	7.9	9.8
Nuclear	5.0	5.0

Source: National Electric Reliability Council's (NERC) Generating Availability Data System (GADS). <http://www.nerc.com/~gads/> Planned outage rate based on SOF (scheduled outage hours divided by total hours). Forced outage rate based on EFORD (Equivalent Forced Outage Rate demand). Derived from a data run from Mike Curley of NERC (3/12/03).

#### 4.5 Emission Rates

Emission rates are estimated for SO<sub>2</sub>, NO<sub>x</sub>, Mercury (Hg), and CO<sub>2</sub>. **Table 18** provides the input emission rates (lbs/MMBTU of input fuel) for plants that use combustible fuel. Output emission rates (lb/MWh) may be calculated by multiplying input emission rate by heat rate.

**Table 18: Input Emissions Rates (lbs/MMBTU fuel input)**

	<b>SO<sub>2</sub></b>	<b>NO<sub>x</sub></b>	<b>Mercury (Hg)</b>	<b>CO<sub>2</sub></b>
Hydro	0	0	0	0
Gas-CT	0.0034	0.08	0	122.1
Gas-Combined Cycle (CC)	0.0034	0.02	0	122.1
Existing Coal (Scrubbed)	0.26	0.448	0.0000046	207.3
Existing Coal (Unscrubbed)	1.7	0.448	0.0000046	207.3
Coal-new	0.09	0.02	0.0000046	207.3
Coal-IGCC	0.04	0.02	0.0000046	122.1
Oil/gas/steam (o-g-s)	0	0.1	0	122.1
Nuclear	0	0	0	0
Biopower	0	0	0	0
Concentrating Solar (CSP)	0.0065	0.02	0	30.5
Landfill Gas (Ifill-gas)	0.045	0	0	0

**Sources and Notes:**

1) SO<sub>2</sub>: SO<sub>2</sub> emissions result from the oxidization of sulfur contained in the fuel. Natural gas rate source: U.S. EPA. 1996, (AP-42 Section 3.1 Stationary Gas Turbines). SO<sub>2</sub> input emissions rate for coal is based on the fuel content of the fuel, and the use of post-combustion controls. The “base” emissions rate for existing and new conventional coal plants is based on a national average sulfur content of 0.9 lbs/MMBTU (1.8 lb SO<sub>2</sub>/mmBTU). WinDS assumes the national average for “low sulfur” coal is 0.5 lbs SO<sub>2</sub>/MMBTU. Values based on national averages from AEO Assumptions (U.S. DOE 2005c) Table 73. Scrubber removal efficiency is assumed to be 85% for retrofits, 95% for new plants (U.S. EPA. 1996 AP-42 Section 1.1.4 Controls).

2) NO<sub>x</sub>: NO<sub>x</sub> emissions result from the oxidization of Nitrogen in the air. It is not a result of the type of fuel burned, but the combustion characteristics of the generator. NO<sub>x</sub> emissions can be reduced through a large variety of combustion controls, or post combustion controls. NO<sub>x</sub> emissions are not restricted in the WinDS Base Case (see section on federal emissions standards). The emissions rates in Table 18 are national averages, Source: U.S. EPA. 2005 (EGrid 2000 National averages.)

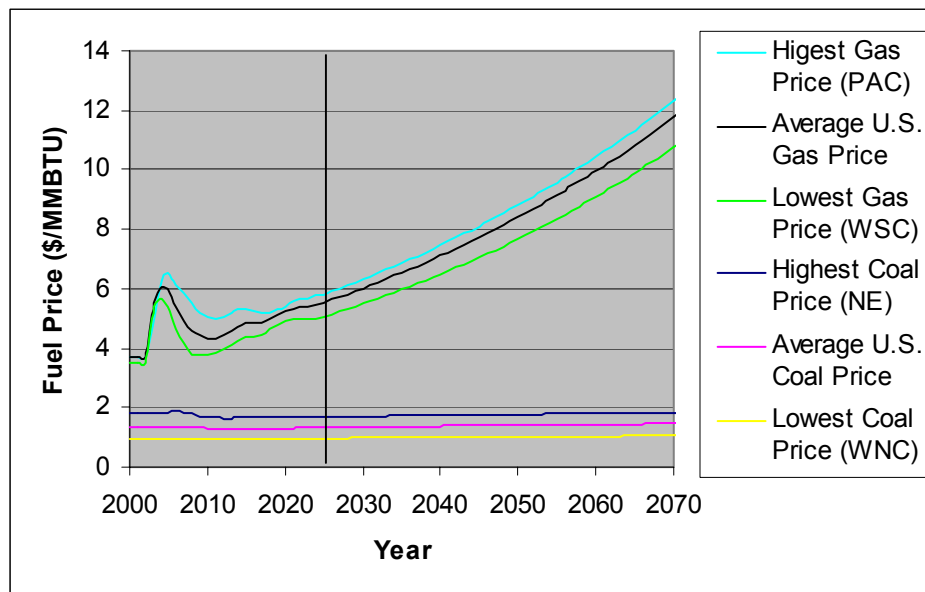
3) Mercury. Mercury is a trace constituent of coal. Mercury emissions are unrestricted in the WinDS Base Case (see section on federal emissions standards). Emissions rates in Table 15 are averages and do not consider control technologies. Source: U.S. EPA. 2005 (EGrid 2000 National averages.)

4) CO<sub>2</sub>: CO<sub>2</sub> emissions result from the oxidization of carbon in the fuel and emissions rate is based solely on fuel type, and therefore constant for all plants burning the same fuel type. All emissions are point-source emissions from the plant only (not “life-cycle” emissions). Natural gas emissions rates are from U.S. EPA 1996, (AP-42 Section 3.1 Stationary Gas Turbines). CO<sub>2</sub> content for coal is based on the national average from AEO Assumptions (U.S. DOE 2005c) Table 73. Biofuels are assumed to be carbon neutral. Landfill gas is assumed to have zero carbon emissions, since the gas would be flared otherwise. CSP plants burn a small amount of natural gas, resulting in CO<sub>2</sub> emissions. CO<sub>2</sub> emissions are not constrained in the WinDS Base Case.

## 4.6 Fuel Prices

Fuel prices for natural gas and coal are derived from projections from the AEO 2005 (U.S. DOE. 2005b - Energy Prices by Sector and Source). These tables provide the prices in each census region, which are then assigned to a NERC subregion used in WinDS. Prices in the AEO are projected to 2025. Beyond 2025, WinDS increases fuel prices at the same national annual average rate as projected by the AEO between 2015 and 2025.

**Figure 3** illustrates the projected fossil fuel prices in constant 2004\$. The prices are projected out to 2070, because WinDS attempts to design a cost-optimal system over an evaluation horizon of 20 years. Values to the right of the vertical line in Figure 3 (at 2025) are extrapolation of EIA fuel price projections. (Averages shown on graph do not reflect the “real” average – which would be weighted by sales – but are the average weighted by region.)



**Figure 3: Projected Coal and Natural Gas Prices in WinDS to 2070**

Uranium fuel price in WinDS is constant at \$0.4/MMBTU (U.S. DOE. 2003, pg 68).

## 5) Transmission

WinDS assumes that some fraction of the existing transmission capacity may be used to deliver wind energy. WinDS may also build new transmission capacity dedicated to carrying wind energy.

Existing transmission capacity is estimated using a database of existing lines (length and voltage) from RDI/Platts (<http://www.platts.com/Analytic%20Solutions/BaseCase/>). This database is translated into a MW capacity, as a function of kV rating and length. (Weiss, Larry and S. Spiewak, 1998)

In the Base Case, it is assumed that only 20% of the capacity of an existing transmission line can be made available for new wind generation. This value is based partly on existing reserve margins, and also the possibility of upgrades to existing transmission systems – reconductoring, voltage upgrades, and the deployment of flexible AC transmission systems (FACTS).

WinDS uses a transmission loss rate of 0.236 kW per MW-mile. This value is based on the loss estimates for a typical transmission circuit (Weiss, Larry and S. Spiewak, 1998). The assumed “typical” line is a 200-mile, 230 kV line rated at 170 MVA (line characteristics derived from Electric Power Research Institute, 1983).

New transmission-line capacity may be constructed for wind at a cost of \$1,000/MW-Mile. This value is based roughly on the middle range of estimates by the EIA (DOE 2002)

## 6) Federal and State Energy Policy

### 6.1 Federal Emission Standards

CO<sub>2</sub>: WinDS has the ability to add a national cap on CO<sub>2</sub> emissions from electricity, or a CO<sub>2</sub> emission charge (tax). Neither a carbon cap nor charge is implemented in the Base Case.

SO<sub>2</sub>: Emissions of SO<sub>2</sub> are capped at the national level. WinDS uses a cap that corresponds roughly to the 2005 Clean Air Interstate Rule (CAIR), replacing the previous limits established by the 1990 Clean Air Act Amendments. The CAIR rule divides the United States into two regions. WinDS uses the EPA’s estimate of the effective national cap on SO<sub>2</sub> resulting from the CAIR rule.

**Table 19** provides the SO<sub>2</sub> cap used in WinDS.

**Table 19: National SO<sub>2</sub> Emission Limit Schedule in WinDS**

Year	2003	2010	2015	2020	2030
National SO <sub>2</sub> Emissions (Million Tons)	10.6	6.1	5.0	4.3	3.5

Source: [http://www.epa.gov/cair/charts\\_files/cair\\_emissions\\_costs.pdf](http://www.epa.gov/cair/charts_files/cair_emissions_costs.pdf)

NO<sub>x</sub>: NO<sub>x</sub> emissions are currently unconstrained in WinDS. The NO<sub>x</sub> cap, based on the CAIR, may be added; however, the net effect on the overall competitiveness of coal is expected to be relatively small. (U.S DOE 2003b)

HG: Mercury emissions are currently unconstrained in WinDS. As of February 2006, the Clean Air Mercury Rule is a cap-and-trade regulation, expected to be met largely via the requirements of the CAIR rule. Control technologies for SO<sub>2</sub> and NO<sub>x</sub> that are required

for CAIR are expected to capture enough mercury to largely meet the cap goals. As a result, the incremental cost of Hg regulations is very low (U.S. DOE 2003b), and not modeled in WinDS.

## 6.2 Federal Energy Incentives

There are several classes of incentives applied at the federal level. These incentives generally have the effect of reducing the cost of providing energy from an incentivized source. A production tax credit (PTC) provides an offset to the tax liability of companies, based on the production of energy from an incentivized source. An investment tax credit provides an offset to tax liability, based on investment in an incentivized source.

**Table 20: Federal Renewable Energy Incentives**

Name	Value	Notes and Source
Renewable Energy PTC	\$19/MWh	Applies to wind. No limit to the aggregated amount of incentive. Value is adjusted for inflation. Expires end of 2007
Renewable Energy ITC	Solar CSP: 10%	

Source: U.S. Congress 2005

## 6.3 State Energy Incentives

Several states also have production and investment incentives for renewable energy sources. The values used in WinDS are listed in **Table 21**.

**Table 21: State Renewable Energy Incentives**

State	PTC \$/MWh	ITC	Assumed State Corporate Tax Rate
IA		5.00%	10.0%
ID		5.00%	7.60
MN		6.50%	9.8%
NJ		6.00%	9.0%
NM	10		7.0%
OK	2.5		6.0%
UT		4.75%	5.0%
WY		4.00%	9.0%

Investment and production tax credit data from IREC 2006

Tax rates from: [http://www.taxadmin.org/fta/rate/corp\\_inc.html](http://www.taxadmin.org/fta/rate/corp_inc.html)

## 6.4 Federal Renewable Portfolio Standard

A renewable portfolio standard (RPS) requires that a certain fraction of a region's energy be derived from renewable energy. While there is no federal RPS in place (as of February 2006) or in the WinDS Base Case, WinDS can accommodate a national RPS, with input

values for fraction of energy to be provided by renewables, RPS start year, duration, and shortfall penalty.

## 6.5 State Renewable Portfolio Standards

There are several states that currently have RPS policies. States may have capacity mandates as an alternative or supplement to an RPS. A capacity mandate requires a utility to install a certain fixed capacity of renewable energy generation.

**Table 22: State RPS Requirements**

State	RPS Start Year <sup>2</sup>	RPS Full Implementation <sup>3</sup>	Penalty in \$/MWh	WinDS Assumed RPS Fraction <sup>4</sup>	Legislated RPS Fraction (%)	Load Fraction <sup>5</sup>
AZ	2001	2025	50	0.0079	1.1	1
CA	2003	2017	5	0.034	20	0.63
CO	2007	2015	50	0.044	10	0.69
CT	2004	2010	55	0.013	10	0.94
DE	2007	2019	25	0.056	10	0.75
IL	2004	2013	10	0.062	15	0.92
MA	2003	2009	50	0.026	4	0.85
MD	2006	2019	20	0.045	7.5	0.8
MN	2002	2015	10	0.072	1,125 MW	1
MT	2008	2015	10	0.075	15	0.9
NJ	2005	2008	50	0.029	6.5	1
NM	2006	2011	10	0.026	10	0.53
NV	2003	2015	10	0.133	20	0.89
NY	2006	2013	5	0.035	25	0.84
OK	2005	2016	50	0.05	See Note 6	1
OR	2002	2020	5	0.078	See Note 6	1
PA	2007	2020	45	0.014	8	0.98
RI	2007	2019	55	0.069	15	0.99
TX	2003	2015	50	0.01	5,880 MW	1
VT	2005	2012	10	0.05	See Note 6	1
WI	2001	2011	10	0.006	2.2	0.75

Notes:

1) RPS data as of 8/16/05. Source: IREC 2006

2) RPS Start Year is the “beginning” of the RPS program. The RPS is ramped linearly to the full implementation year.

3) RPS Full Implementation is the year that the full RPS fraction must be met. WinDS assumes the fraction met is ramped up linearly between the start year and the full implementation year.

4) WinDS Assumed RPS Fraction is the fraction of state demand that must be met by wind by the full implementation year. This value is based on the total state RPS requirement and adjusted to estimate the fraction actually provided by wind since WinDS does not currently include other renewables such as biomass cofiring, certain hydro projects, etc.

5) Load fraction is the fraction of the total state load that must meet the RPS. In certain locations, municipal or cooperative power systems may be exempt from the RPS.

6) Several states have special funds set aside to promote renewables. The net increase in wind due to these funds was estimated and applied as an effective RPS.

## 7) Future Work

We continue to update and improve the data in the WinDS Base Case as it becomes available. For example, we will be updating the electric loads, fuel prices, and conventional technology costs and performance once the U.S. DOE Energy Information Administration releases the full dataset associated with the *Annual Energy Outlook 2006*.

## 8) References

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Note on EIA and EPA references: Many of the assumptions regarding conventional generation and fuel prices are from the Energy Information Administration's National Energy Modeling System (NEMS). This information is published in the Annual Energy Outlook (AEO), which consists of three documents: the main AEO (which focuses on results), the supplemental tables (which provide additional details on results at the regional level), and the assumptions (which provides input details). Several sources for emissions data are available from the U.S. EPA. These include the AP-42 series of documents, which provide detailed emissions estimates for different combustion technologies and emissions controls. The eGRID database provides estimates for actual emission rates from existing plants, based on measured fuel use and Continuous Emissions Monitoring System (CEMS) data measurement.



## Appendix A: Wind Resource Dataset

Refer to Section 3 for details of dataset origins.

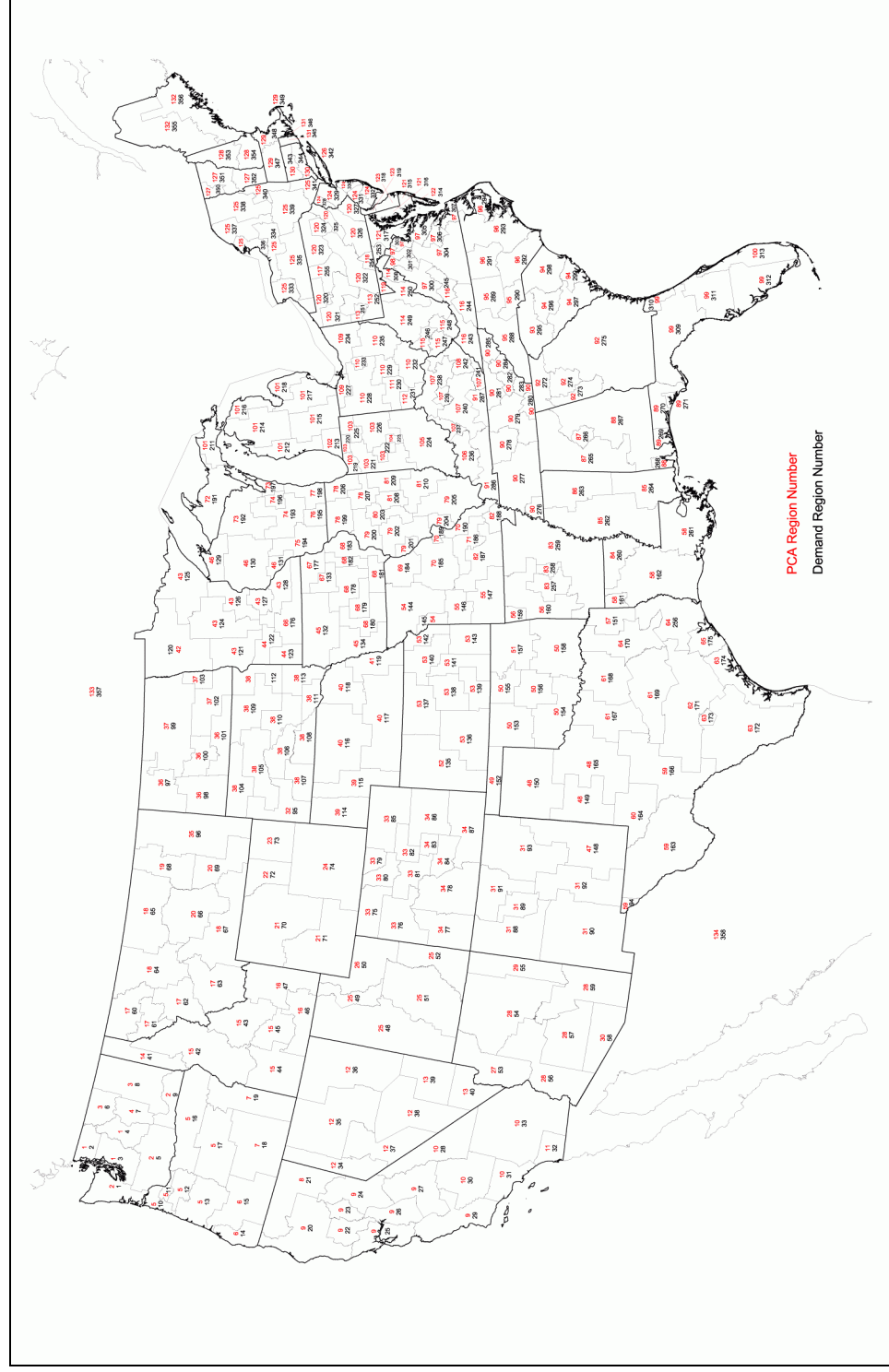


Figure A.1: Wind Region and PCAs in WinDS Base Case

Table A1: Wind Resource by WinDS Region

Wind Region	On Shore Resource (MW)							Shallow Offshore Resource (MW)							Deep Offshore Resource (MW)						
	Class 3	Class 4	Class 5	Class 6	Class 7	Class 3	Class 4	Class 5	Class 6	Class 7	Class 3	Class 4	Class 5	Class 6	Class 7	Class 3	Class 4	Class 5	Class 6	Class 7	
1	927	286	82	42	19	0	155	0	0	0	802	1408	18686	51017	0	0	0	0	0	0	
2	215	69	44	44	17	4	7	0	0	0	110	112	0	0	0	0	0	0	0	0	
3	467	248	128	120	67	3	31	0	0	0	0	142	0	0	0	0	0	0	0	0	
4	3266	2101	501	87	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
5	10416	2550	542	267	61	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
6	65	18	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
7	1512	186	30	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
8	614	131	59	26	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
9	7384	1548	212	22	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
10	824	371	154	87	15	0	0	0	0	0	0	0	3861	18604	0	0	0	0	0	0	
11	68	15	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
12	467	136	42	24	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
13	971	295	135	69	6	0	0	0	0	0	0	0	145	23231	0	0	0	0	0	0	
14	1024	631	300	193	39	0	0	0	0	0	0	0	42	41164	17460	0	0	0	0	0	
15	640	256	81	42	12	0	0	0	0	0	0	0	0	9591	1049	0	0	0	0	0	
16	15202	3284	488	162	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
17	1449	267	47	27	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
18	9211	1163	211	105	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
19	6728	766	87	23	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
20	1404	421	160	121	53	0	0	3	21	0	528	1145	1576	47164	42052	0	0	0	0	0	
21	1517	427	115	74	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
22	299	55	8	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
23	114	21	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
24	333	116	45	26	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
25	2481	1129	101	18	0	9	106	5	0	0	2552	22654	10163	25457	172	0	0	0	0	0	
26	472	111	29	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
27	92	22	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
28	1843	531	199	65	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

[illegible]



[illegible]

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[illegible]



[illegible]

[illegible]

Total	Class 3	Class 4	Class 5	Class 6	Class 7	Class 3	Class 4	Class 5	Class 6	Class 7	Class 3	Class 4	Class 5	Class 6	Class 7
5144954	2349214	392397	78517	22653	596	12587	46241	44229	890	22434	86993	110961	431953	60788	

Notes:

- 1) Regions without wind resource are not listed. Regions 357 and 358 are Canada and Mexico. WinDS does not include wind resources in those countries.
- 2) Shallow offshore refers to land 5-50 nm to shore with water < 30m deep
- 3) Deep offshore refers to land 5-50 nm to shore with water > 30m deep